

Privacy Notice for California Residents

Updated and Effective as of January 1, 2020

Please note, other sections of the general Notice apply to California residents, so please read the Notice above in its entirety. In particular, you are encouraged to read the following sections: How we keep your personal information secure, How long will we retain your personal information, Cookies, What are cookies?, Absolutely necessary cookies, performance cookies, Targeting and advertising cookies and Managing cookies.

“**Personal Information**,” as used in this Privacy Notice for California Residents, means information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a particular consumer or household.

- **Your Rights under the California Consumer Privacy Act of 2018 (“CCPA”)**
 - California residents have the right to request that we disclose to them what Personal Information we collect, use, disclose and sell; you may request to know the categories of Personal Information collected about you or the specific pieces of Personal Information collected about you (“**Right to Know**”).
 - California residents have the right to request that we delete their Personal Information we have collected or maintained (“**Right to Request Deletion**”).
 - California residents have the right to opt-out of the sale of their Personal Information.
 - California residents have the right not to receive discriminatory treatment by us for the exercise of their privacy rights conferred by the CCPA.

If you are a California resident, please see the section titled Exercising California Residents’ Privacy Rights, below, for information on how to exercise your CCPA rights.

- **Personal Information Collection and Purposes of Use**

We collect the categories of Personal Information of California residents set forth below for use for the purposes described, for each category, below:

Categories and Specific Pieces of Personal Information Collected	Business or Commercial Purposes for which the Personal Information is Used
Identifiers <ul style="list-style-type: none"> • <i>Name and aliases</i> • <i>Postal address</i> • <i>Email address</i> • <i>IP address</i> • <i>Telephone and mobile phone numbers</i> 	Performing internal operations necessary to run our business and provide excellent services to you Contacting investors or potential investors about changes and updates Communicating with you with respect to requested communications and other topics that may be of interest to you Communicating with you with respect to features of our website Performing analytics and market research Addressing customer service inquiries Enabling and providing fraud prevention mechanisms Improving digital advertising and marketing
Internet or other electronic network activity information <ul style="list-style-type: none"> • <i>Behavioral data</i> • <i>Browsing histories</i> 	Performing analytics and market research Addressing customer service inquiries Enabling and providing fraud prevention mechanisms

<ul style="list-style-type: none"> • <i>Search histories</i> • <i>Device type</i> 	Improving digital advertising and marketing
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• **Our Personal Information Handling Practices in the Preceding 12 Months**

The chart below describes, for the preceding 12 months, the categories of Personal Information we have collected from California residents and, for each such category, the categories of sources of the information, the business or commercial purposes for collection and the categories of third parties with whom we share the information:

Categories and Specific Pieces of Personal Information Collected	Categories of Sources of Collection	Business or Commercial Purposes for Collection	Categories of Third Parties with Whom We Share the Information
Identifiers <ul style="list-style-type: none"> • <i>Name and aliases</i> • <i>Postal address</i> • <i>Email address</i> • <i>IP address</i> • <i>Telephone and mobile phone numbers</i> 	Consumer directly Service providers	Performing internal operations necessary to run our business and provide excellent services to you Contacting investors or potential investors about changes and updates Communicating with you with respect to requested communications and other topics that may be of interest to you Communicating with you with respect to features of our website Performing analytics and market research Addressing customer service inquiries Enabling and providing fraud prevention mechanisms Improving digital advertising and marketing	Advertising service providers Internet service providers Data analytics providers Anti-fraud protection providers Operating systems and platforms
Internet or other electronic network. <ul style="list-style-type: none"> • <i>Behavioral data</i> • <i>Browsing histories</i> • <i>Search histories</i> • <i>Device type</i> 	Consumer directly Service providers Data analytics providers	Performing analytics and market research Addressing customer service inquiries Enabling and providing fraud prevention mechanisms Improving digital advertising and marketing	Advertising service providers Internet service providers Data analytics providers Anti-fraud protection providers Operating systems and platforms

• **Sale of California Residents' Personal Information**

We have not sold any California residents' Personal Information to third parties in the preceding 12 months.

As of January 1, 2020, we do not and will not sell California residents' Personal Information.

- **Minors and Children Under 16**

We do not sell the Personal Information of minors under 16 years of age without the affirmative authorization of such consumers or their parental guardians.

- **Disclosure for a Business or Commercial Purpose of Personal Information Collected in the Preceding 12 Months**

In the preceding 12 months, we disclosed the following categories of Personal Information for a business purpose or commercial purpose:

- Identifiers (such as real name, alias, birth date, telephone and mobile phone numbers, postal addresses, unique personal identifiers, including, but not limited to online identifiers, Internet Protocol addresses, or other similar identifiers).
- Internet or other electronic network activity information, including, but not limited to consumers' browsing histories, search histories, and information regarding consumers' interactions with Internet websites, applications or advertisements.

- **Exercising California Residents' Privacy Rights**

As a California resident, you have certain additional rights with respect to your Personal Information, as described above in the section Your Rights under the California Consumer Privacy Act of 2018 ("CCPA").

You can submit your request to exercise these various rights (including the Right to Know and the Right to Request Deletion) in the following manner:

1. 1-800-553-8268
2. Via email to privacy@oakhill.com.

Process for Verifying a Consumer's Request

We will verify any consumer's request to exercise the Right to Know or the Right to Request Deletion using the following process:

Whenever feasible, we will match, or will engage a third-party identification verification service to match, the identifying information provided by you to the Personal Information that we maintain already on our systems. We will generally avoid requesting additional information for the purposes of verification. Only if we are unable to verify your identity or residency from the information already maintained by us will we request additional information from you. The additional Personal Information collected will only be used for the purposes of accurately verifying your identity in order to effectively allow you to exercise your rights under the CCPA and for the purposes of fraud prevention. Once we have satisfied these purposes, we will delete the additional Personal Information collected from you as soon as practically possible.

Generally, we will require you to provide us with data points that ensure that we can verify your consumer request to a reasonable degree of certainty.

Exercising the Right to Know. If you are requesting to know the categories of Personal Information collected, we may require two data points to be provided by you that match two of the data points that we maintain. However, if you request to know specific pieces of information, a higher bar for verification is required (a reasonably high degree of certainty), which may require us to match three data points of information provided by you with three data points that we maintain in our records together with a signed declaration under penalty of perjury that you are the individual whose Personal Information is the subject of the request. We will maintain all signed declarations as part of our record-keeping obligations under the CCPA.

Exercising the Right to Request Deletion. If you submit a request that we delete your Personal Information, the level of reasonable certainty that will be required through our verification process will depend on the nature of the Personal Information being requested to be deleted. For sensitive Personal Information, we will require that three data points provided by you match three data points we maintain in our records along with a signed declaration under penalty of perjury. For all other

kinds of Personal Information subject to a deletion request we will collect the requisite data points consistent with a reasonable degree of certainty standard described above. We will act in good faith when determining what standard to applying when verifying all consumer requests.

Inability to Verify a Request

If we are unable to verify your identity to a degree of certainty as required by the CCPA through any reasonable method, we will state that we are unable to verify in a written response to you along with a reason as to why there is no reasonable method by which we can verify your identity.

Household Requests

If all the consumers of a household jointly request access to specific pieces of information for the consumers of the household or deletion of the household's Personal Information, we will comply with the request if we can verify the identity of all members of the household pursuant to our procedures for identity verification mentioned above.

Authorized Agents

As a California resident, you may designate an authorized agent to act on your behalf to make a request under the CCPA, such as requesting disclosure of any Personal Information sold or collected by Oak Hill Capital Management, LLC; or requesting deletion of such Personal Information. If you should use an authorized agent to exercise your various rights under the CCPA, we may require that you provide your authorized agent with written permission to exercise your various rights and to verify your own identity with us through the processes laid out in the section titled Exercising California Residents' Privacy Rights, above. If your authorized agent does not submit proof that they have been authorized by you to submit verified requests for disclosure and deletion, we reserve the right to deny such a request that we have received and will explain to your authorized agent why we have deny such request.

- **Concerns about our Privacy Policy and Practices**

If you have questions or concerns about our privacy policy or practices, please contact privacy@oakhill.com.